

#6
3-5-02

Attorney's Docket No. 1688.P017

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Kikinis

Application No. 09/854,339

Filed: 05/11/2001

For: UNIVERSAL PROGRAMMING
SYSTEM AND METHOD FOR EPG
WITH ADDED OFFLINE ARCHIVE

Examiner: Not yet assigned

Art Unit: 2643

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231-0001

on 11/18/02 JL
Date Judy L. Steinkraus

Commissioner For Patents

Washington, D.C. 20231-0001

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that any search has been made except for the ones connected with the Search Reports for Application Numbers PCT/US01/12342; PCT/US01/13897; PCT/US01/15405; PCT/US01/41664; PCT/US01/05056; and PCT/US01/11666 (copies enclosed)

RECEIVED
FEB 06 2002
Technology Center 2600

and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

37 C.F.R. §1.97(b).

37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

A statement pursuant to 37 C.F.R. §1.97(e) or

A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

(1) A statement pursuant to 37 C.F.R. §1.97(e); and

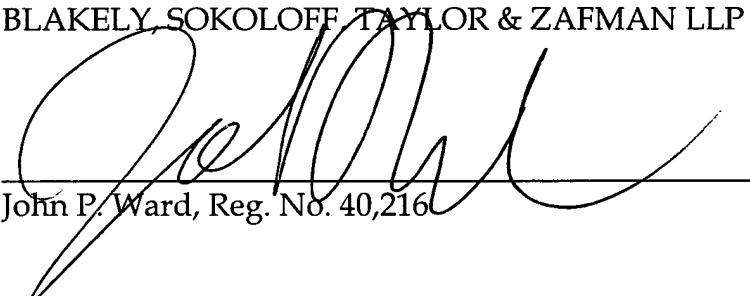
(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: January 18, 2002


John P. Ward, Reg. No. 40,216

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(408) 720-8300



RECEIVED
FEB 06 2002

Technology Center 2600

2643

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to

Box
Commissioner for Patents
Washington, D.C. 20231-0001

Judy L. Steinkraus

on 1/18/02.

Serial Patent No. 09/854,339
Client: iSurfTV Corporation
Title: UNIVERSAL PROGRAMMING SYSTEM AND METHOD FOR EPG WITH ADDED OFFLINE ARCHIVE
BSTZ File No. 4688.P017
Date Mailed 1/18/02

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

Amendment/Response (____ pgs.)
 Appeal Brief (____ pgs.) (in triplicate)
 Application - Utility (____ pgs., with cover and abstract)
 Application - Rule 1.53(b) Continuation (____ pgs.)
 Application - Rule 1.53(b) Divisional (____ pgs.)
 Application - Rule 1.53(b) CIP (____ pgs.)
 Application - Rule 1.53(d) CPA Transmittal (____ pgs.)
 Application - Design (____ pgs.)
 Application - PCT (____ pgs.)
 Application - Provisional (____ pgs.)
 Assignment and Cover Sheet
 Certificate of Mailing
 Declaration & POA (____ pgs.)
 Disclosure Docs & Org & Copy of Invention Spec'd and Drawings (____ pgs., # of sheets includes _____ figures)
 Other: copies of 19 references and 6 search reports

Filing/Issue Date: 05/11/2001
Att'y/Secty. Initials: IPW:jxs
Docket Due Date: n/a
 Express Mail No.: _____ Month(s) Extension of Time _____
 Information Disclosure Statement & PTO-1442 (____ pgs.)
 Issue Fee Transmittal
 Notice of Appeal
 Petition for Extension of Time
 Petition for _____
 Postcard
 Power of Attorney (____ pgs.)
 Preliminary Amendment (____ pgs.)
 Reply Brief (____ pgs.)
 Response to Notice of Missing Parts
 Small Entity Declaration for Indep Inventor/Small Business
 Transmittal Letter, in duplicate
 Fee Transmittal, in duplicate

Check No. _____
Am. _____
 Check No. _____
Am. _____

Substitute for Form 1449A/PTC (Modified) <small>(use as many sheets as necessary)</small>		Attorney Docket No.: 4688.P017	Application Number: 09/854,339
		First Named Inventor: Kikinis	
		Filing Date: 05/11/2001	

U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appea
		Number Code ²	Kind (If known)			
		5,414,773		Handelman	05-09-1995	
		5,479,268		Young et al.	12-26-1995	
		5,532,754		Young et al.	07-02-1996	
		5,737,028		Bertram et al.	04-07-1998	
		5,751,282		Girard et al.	05-12-1998	
		5,818,441		Throckmorton et al.	10-06-1998	
		5,841,563		Effenberger	11-24-1998	
		5,900,915		Morrison	05-04-1999	
		5,926,168		Fan	07-20-1999	
		5,956,456		Bang et al.	09-21-1999	
		5,999,167		Marsh et al.	12-07-1999	
		6,029,195		Herz	02-22-2000	
		6,075,575		Schein et al.	06-13-2000	
		6,167,188		Young et al.	12-26-2000	
		6,205,485	B1	Kikinis	03-20-2001	
		6,233,389	B1	Barton et al.	05-15-2001	
		6,271,831	B1	Escobosa et al.	08-07-2001	

FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appea	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (If known)				
		WO	00/01149	A1	NDS Limited	01-06-2000		
		JP	410093880	A	KOMI, et al.	04-10-1998		n

Examiner
Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED
Technology Center 2000